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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Adam J. Katz et al.  
**Serial No.:** 10/797,371  
**Filed:** MARCH 9, 2004  
**Docket:** 30448.77USD1  
**Title:** ADIPOSE-DERIVED STEM CELLS AND LATTICES

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CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 21, 2004.

By: 

Name: Tracy Truick

55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
June 21, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- ☒ Request For Corrected Filing Receipt
- ☒ Exhibit 1- A copy of Marked-up Filing Receipt dated May 26, 2004
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**  
55 South Lake Avenue, Suite 710  
Pasadena, California 91101  
(626)395-7801

By: 

Name: Sarah B. Adriano  
Reg. No.: 34,470  
Initials: SBA  
Customer No.: 26,941



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                    |   |                        |               |
|--------------------|---|------------------------|---------------|
| <b>Applicant:</b>  | Adam J. Katz et al.                     | <b>Examiner:</b>       | Not Yet Known |
| <b>Serial No.:</b> | 10/797,371                              | <b>Group Art Unit:</b> | 1636          |
| <b>Filed:</b>      | MARCH 9, 2004                           | <b>Docket No.:</b>     | 30448.77USD1  |
| <b>Title:</b>      | ADIPOSE-DERIVED STEM CELLS AND LATTICES |                        |               |

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 21, 2004.

  
By: Tracy Truick

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants are requesting correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt for the above-identified application.

The filing receipt is erroneous, because it lists an incorrect city and state residence for five of the inventors. Applicants are providing a photocopy of the filing receipt from the United States Patent and trademark Office which is amended to indicate the requested corrections. The photocopy of the amended filing receipt is enclosed as **Exhibit 1** (one page).

The corrected filing receipt should read as follows:

Applicant(s) Ramon Llull, **Balearic Isles-Mallorca, SPAIN;**

Marc H. Hedrick, **Encinitas, CA;**

Prosper Benhaim, **Encino, CA;**

Hermann Peter Lorenz, **Belmont, CA;**

Min Zhu, San Diego, CA;

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

No fee is deemed necessary in connection with the filing of this Request for Corrected Filing Receipt. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,



Sarah B. Adriano

Registration No. 34,470

Roberta D. German

Registration No. 43,902

Patent Practitioners for Applicants

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/797,371 | 03/09/2004                | 1636     | 1341          | 30448.77USD1    |          | 84       | 7        |

CONFIRMATION NO. 4480

## FILING RECEIPT



\*OC000000012783132\*

26941  
 MANDEL & ADRIANO  
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 PASADENA, CA 91101

Date Mailed: 05/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Adam J. Katz, Charlottesville, VA;  
 Ramon Llull, ~~Santa Maria~~ Mallorca, SPAIN; *Balearcic Isles*  
 J. William Futrell, Pittsburgh, PA;  
*Encinitas* — Marc H. Hedrick, ~~Encino~~ CA; *Encino*  
 Prosper Benhaim, ~~Los Angeles~~ CA;  
 Hermann Peter Lorenz, ~~Los Angeles~~ CA; *Belmont*  
 Min Zhu, ~~Los Angeles~~ CA; *San Diego*

## Assignment For Published Patent Application

University of Pittsburgh of the Commonwealth System of Higher Education;  
 The Regents of the University of California;

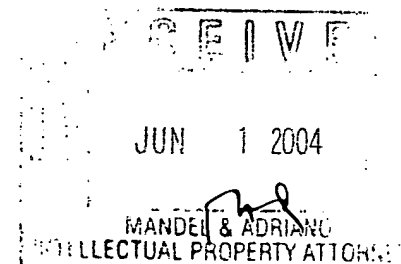
## Domestic Priority data as claimed by applicant

This application is a DIV of 09/936,665 09/10/2001  
 which is a 371 of PCT/US00/06232 03/10/2000  
 which claims benefit of 60/123,711 03/10/1999  
 and claims benefit of 60/162,462 10/29/1999

## Foreign Applications

If Required, Foreign Filing License Granted: 05/26/2004

Projected Publication Date: 09/02/2004



RAPD  
 6/2/04